

RECEIVED
CENTRAL FAX CENTER

BAKER BOTTS LLP.

APR 02 2007

070388.0620
PATENT

30 Rockefeller Plaza
New York, NY 10112-4498
(212) 408-2500 (fax)

**UNITED STATES PATENT & TRADEMARK OFFICE
FACSIMILE COVER SHEET**

Date: April 2, 2007

Fax Number:..... (571) 273-8300

Pages (including cover sheet).....

Time Sent:.....approx.

FACSIMILE:.....(212) 408-2501 (AUTOMATIC-24 hours)

FOR ASSISTANCE:.....(212) 408-2500

Attn: Mr. Timothy M. Harbeck

Group Art Unit: 3692

Examiner Phone Number: 571-272-8123

Applicants: Dror Segal

U.S. Serial No.: 09/774,768

For: VIRTUAL TRADING FLOOR SYSTEM AND METHOD

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this paper for U.S. Serial No. 09/774,768 is being facsimile transmitted to the Patent and Trademark Office on the date indicated below.

Manu J. Tejwani
Attorney Name

37,952

PTO Reg. No.

Signature

April 2, 2007

Date

Attorney Docket No.: 070388.0620

NY02:580836.1

**RECEIVED
CENTRAL FAX CENTER**

APR 02 2007

PTO/SB/17 (10-04)

Approved for use through 07/31/2006. OMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 910.00

Complete if Known

Application Number	09/774,768
Filing Date	01/31/2001
First Named Inventor	Dror Segal
Examiner Name	Timothy M. Harbeck
Art Unit	3692
Attorney Docket No.	070388.0620

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 02-4377
Deposit Account Name: Baker Botts L.L.P.

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1001	2001	Utility filing fee	
1002	2002	Design filing fee	
1003	2003	Plant filing fee	
1004	2004	Reissue filing fee	
1005	2005	Provisional filing fee	
SUBTOTAL (1)			(\$) 0

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

			Extra Claims		Fee from below	Fee Paid	
Total Claims	<input type="text"/>	-20	=	<input type="text"/>	X	<input type="text"/>	=0
Independent Claims	<input type="text"/>	-3	=	<input type="text"/>	X	<input type="text"/>	=0
Multiple Dependent	<input type="text"/>			<input type="text"/>		<input type="text"/>	=0

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1202	2202	Claims in excess of 20	
1201	2201	Independent claims in excess of 3	
1203	2203	Multiple dependent claim, if not paid	
1204	2204	** Reissue independent claims over original patent	
1205	2205	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			(\$) 0.00

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid
1051	2051	Surcharge - late filing fee or oath	
1052	2052	Surcharge - late provisional filing fee or cover sheet	
1053	1053	Non-English specification	
1812	1812	For filing a request for ex parte reexamination	
1804	1804	Requesting publication of SIR prior to Examiner action	
1805	1805	Requesting publication of SIR after Examiner action	
1251	2251	Extension for reply within first month	120
1252	2252	Extension for reply within second month	
1253	2253	Extension for reply within third month	
1254	2254	Extension for reply within fourth month	
1255	2255	Extension for reply within fifth month	
1401	2401	Notice of Appeal	
1402	2402	Filing a brief in support of an appeal	
1403	2403	Request for oral hearing	
1451	1451	Petition to institute a public use proceeding	
1452	2452	Petition to revive - unavoidable	
1453	2453	Petition to revive - unintentional	
1501	2501	Utility issue fee (or reissue)	
1502	2502	Design issue fee	
1503	2503	Plant issue fee	
1450	1450	Petitions to the Commissioner	
1807	1807	Processing fee under 37 CFR 1.17(q)	
1808	1808	Submission of Information Disclosure Stmt	
8021	8021	Recording each patent assignment per property (times number of properties)	
1809	2809	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	2810	For each additional invention to be examined (37 CFR 1.129(b))	
1801	2801	Request for Continued Examination (RCE)	790
1802	1802	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Name (Print/Type) Mahesh J. Tejwani

Registration No. (Attorney/Agent)

37,952

(Complete if applicable)

Telephone 212 408-2614

Signature

Date 04/02/2007

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEMA: TTA: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450